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PATENT Expedited Procedure After Final Response <u>Under 37 CFR 1.116</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Certificate of Facsimile Under 37 CFR 1.8

I hereby certify that this correspondence is being transmitted via facsimile to 703-872-9306 on 11 MAY 2004 addressed to: COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313.

Roland K. Bowler li

Applicant:	KFOURY ET AL.)	
Appl. No.	09/941,521)	Examiner J. Chiang
Confirm. No.	7363) }	Art Unit 2642
Filed:	29 August 2001)	Atty. Docket No. CS10289

Title:

"Electronic Device With Rotatable Keypad And Display"

TRANSMITTAL FOR PETITION UNDER 37 CFR 1.181

Commissioner for Patents Alexandria, Virginia 22313



Petition Under 37 CFR 1.181 Appl. No. 09/941,521 Examiner J. Chiang Art Unit 2642

Sir:

MOTOROLA, INC.

INTELLECTUAL PROPERTY DEPT. (RKB) 600 NORTH U.S. HIGHWAY 45, AN475

LIBERTYVILLE, ILLINOIS 60048

The following is enclosed in response to the final Office Action 3 March 2004:

[X] Petition under 37 CFR 1.181 (6 pages);

[X] Fee Calculation Sheet (Duplicate) including authorization for the Commissioner of Patents & Trademarks to any fees required under 37 CFR 1.16 & 1.17 from, and to credit any excess fees paid to, Motorola Inc., Deposit Account No. 50-2117, Customer No. 20280 in connection with the filing of the referenced materials.

Respectfully submitted,

ROLAND K. BOWLER II

11 MAY 2004

Reg. No. 33,477

TELEPHONE NO. (847) 523-3978 FACSIMILE NO. (847) 523-2350



MAY 1 1 2004

		Complete if Known				
FEE		Application Number	09/941,521			
TRANSMITTAL		Filing Date	29 August 2001			
		First Named Inventor	KFOURY			
Patent fees are subject to annual revision		Examiner Name	J. Chiang			
		Group Art Unit	2642			
TOTAL AMOUNT OF PAYMENT	(\$) 140.00	Attorney Docket No.	CS10298			

METHOD C	F PAYMENT		**.		FE	E CAL	ULAT	ION (continued)	
The Commissioner is hereby authorized to charge indicated fees and			3. ADDITIONAL FEES						
The Commissioner is nereby authorized to charge indicated reds and credit any overpeyment to:			Large Small						
Deposit Account Number 502117			Ent		En	EEx.			
Deposit Account Name Motorola, Inc.			Fae	Fee	Fee	Fee			
		· .	Code	(\$)	Code	(\$)		Fee Description	
Charge Any Additional Fee required u	inder 37 CFR 1.16 and 1.17		1051	130	2051	65	Sucha	rge – litte filing fee er oath	
Applicant claims small entity status.	See 37 CFR 1.27		1052 1053	50 130	2052 1053	25 130	Suscha Non-En	rge – late Provisional filing glish specification	
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Check Credit Card Money Order Other			1806	1840*	1805	1840*	Reques	Ling publication of SIA after eraction	
			1251	110	2251	55	Extensi	on for raply within first month	
FEE CAL	CULATION		1252	410	2252	205	Externiç	n for reply within second month	
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1002 390 2002 165	Design filing fee		1453	1300	2453	650		to revive - unintentional	 -
1003 520 2003 260	Plant filing fee		1501	1900	2501	650		sue fee (or relatue)	-
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2. EXTRA CLAIM FEES			1806	180	1806	180		sing fee under 37 CFR 1.17(g) : sion of IDS	
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Multiple Dependent	280 *	⊐	1810	750	2810	375	For eac examin	h additional invention to be ed (37 CFR § 1.129(b))	
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"OR NUMBER PREVIOUSLY PAID, IF GREATER THAN STANDARD ALLOWANCE." "FOR REISSURY, SEE BOOMS			* Reduced by Basic Filing Fee paid SUBTOTAL (3) (\$) 140.00						
SUBMITTED BY									
			Dogina	Man Ata				Complete (if applica	
	N powier II		Registra	NO.	33,4	<u> 77 </u>		Telephone 847	-523-3978
Signature	3XX					D	ate	11 May 2004	



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PATENT Expedited Procedure After Final Response Under 37 CFR 1.116

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

	Applicant:	KFOURY ET AL.)				
10	Appl. No.	09/941,521) Examiner J. Chiang)				
	Confirm. No.	7363) Art Unit 2642)				
	Filed:	29 August 2001) Atty. Docket No. CS10289				
	Title:	"Electronic Device With Rotatable Keypad And Disp					

PETITION UNDER 37 C.F.R. § 1.181; REQUEST FOR WITHDRAWAL OF FINAL REJECTION & CONSIDERATION OF AFFIDAVIT UNDER 37 CFR 1.131

Commissioner for Patents Alexandria, Virginia 22313

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Sir:

Prosecution Summary

30 Examiner's Non-Final Office Action

A first, non-final Office Action was mailed in the subject patent application on 2 February 2004. Claims 1-8, 10 & 14-25 were rejected under 35 USC 102(e) for anticipation by U.S. Patent No. 6,593,914 (Nuovo). Claim 9 was rejected under 35 USC 103(a) as being unpatentable over Nuovo in view of



Petition Under 37 CFR 1.181 Appl. No. 09/941,521 Examiner J. Chiang Art Unit 2642

U.S. Patent 6,349,221 (Wolf). Claims 11 and 12 were rejected under 37 CFR 103(a) as being unpatentable under U.S. Patent No. 4,267,409 (Baldoni).

Applicants' Submission Under 37 CFR 1.115

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On 5 February 2004, Applicants filed a preliminary amendment under 37 CFR 1.115. Claims 26-28 were added. Applicants and their undersigned representative were unaware of the non-final Office action mailed on 2 February 2004 at the time the submission under 37 CFR 1.115 was prepared and filed in the Patent Office. Applicants' submission under 37 CFR 1.115 filed on 5 February 2004 did not address any of the issues raised in the Office action mailed on 2 February 2004

Examiner's Final Rejection

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A final Office Action was mailed on 3 March 2004 in response to the submission under 37 CFR 1.115 filed on 5 February 2004. Claims 1-8, 10 & 14-28 were rejected under 35 USC 102(e) for anticipation by U.S. Patent No. 6,593,914 (Nuovo). Claim 9 was rejected under 35 USC 103(a) as being unpatentable over Nuovo in view of U.S. Patent 6,349,221 (Wolf). Claims 11 and 12 were rejected under 37 CFR 103(a) as being unpatentable under U.S. Patent No. 4,267,409 (Baldoni). The Examiner asserted that the finality of the rejection was necessitated by the amendment.

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Petition Under 37 CFR 1.181 Appl. No. 09/941,521 Examiner J. Chiang Art Unit 2642

Applicant's After Final Response

On 8 April 2004, Applicant filed a response to the final Office Action under 37 CFR 1.116 including an affidavit under 37 CFR 1.131 swearing back of U.S. Patent No. 6,593,914 (Nuovo).

Examiner's Advisory Action

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On 22 April 2004, the Examiner mailed an Advisory Action refusing entry of the "remarks" in Applicants after final response of 4 April 2004. In a subsequent telephone exchange with Applicants representative on or about 7 May 2004, the Examiner clarified that consideration of the Affidavit under 37 CFR 1.131 was refused on the basis that is was submitted untimely.

15 Applicant's Notice & Appeal

On 28 April 2004, the Applicants filed a Notice of Appeal and supporting brief.

In a subsequent telephone exchange with Applicants representative on or about 7 May 2004, the Examiner clarified that consideration of the Affidavit under 37 CFR 1.131 was refused on the basis that is was submitted untimely.



Petition Under 37 CFR 1.181 Appl. No. 09/941,521 Examiner J. Chiang Art Unit 2642

Notice of Impropriety of Final Rejection & Demand for Withdrawal of Final of Rejection

Impropriety of Final Rejection

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The finality of the Office Action mailed on 3 March 2004 is improper, since Applicants did not respond to the previous non-final Office Action mailed on 2 February 2004.

Under the Commissioner's examination guidelines, MPEP 714(e), amendments received by the Office after the mail date of the first office action are considered non-responsive to the Office action. MPEP 714(e) states that the

... Office will not mail a new Office action [in response to an amendment received after the mailing of a first Office action], but simply advise the applicant that the amendment is nonresponsive to the first Office action and that a responsive reply must be timely filed to avoid abandonment. See MPEP 714.03

Applicants' Submission under 37 CFR 1.115 adding new Claims 26-28 was not responsive to the non-final Office Action mailed on 2 February 2004 as required under 37 CFR 1.111 for among, other reasons, failure to request reconsideration and failure to reply to every ground for objection a rejection in the Office Action. As noted, Applicants 37 CFR 1.115 submission merely added new claims. Moreover, at the time the 37 CFR 1.115 submission was filed, Applicants were not yet on notice that the application was the subject of an action on the merits.

Therefore in response to receiving Applicants' submission under 37 CFR 1.115, the Examiner should have refused entry and consideration of the amendment. The finality of the rejection in the Office action of 3 March 2004,

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Atty. Docket No. CS10289

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in response to the submission under 37 CFR 1.115, was therefore improper and must be withdrawn.

Request for Condieration of Affidavit Under 37 CFR 1.131

The Examiner contends that Applicants affidavit was untimely for its submission after the rejection was made final. As noted above, however, the finality of the rejection is believed to have been improper, and withdrawal of its finality will render moot the issue of the timeliness of the affidavit.

The affidavit under 37 CFR 1.131 was nevertheless submitted timely whether or not the finality of the rejection is ultimately withdrawn. The guidelines for seasonal presentation of an affidavit under 37 CFR 1.131 set forth in MPEP 715.09 are the circumstances under which an affidavit is considered to be timely. The guidelines however do not indicate that a non-compliant affidavit is untimely.

Applicants' affidavit under 37 CFR 1.131 was submitted with Applicants first reply to an office action on the merits. Under these circumstances, Applicants response and affidavit should be considered timely and given consideration. Alternatively, under the guidelines at MPEP 715.09, a affidavit is considered seasonable if it submitted

... (1) with a first reply after final rejection for the purpose of overcoming a new ground of rejection or requirement made in the final rejection....

In the instant application, the Examiner contends at paragraph 6 of the final Office action mailed on 3 March 2004 that "... Applicant's amendment [submission under 37 CFR 1.115] necessitated the new ground(s)



Petition Under 37 CFR 1.181 Appl. No. 09/941,521 Examiner J. Chiang Art Unit 2642

for rejection presented in this office action." On this basis, pursuant to MPEP 715.09, Applicant's affidavit under 37 CFR 1.131 was timely since it was presented in response to a new ground of rejection or requirement made in the final Office action.

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Prayer for Relief

In view of the discussion above, kindly remand the instant application to the Examiner with instructions to withdraw the finality of the rejection and/or at least enter and consider Applicants' response under 37 CFR 1.116 including the Affidavit under 37 CFR 1.131 filed on 8 April 2004.

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MOTOROLA, INC.
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LIBERTYVILLE, ILLINOIS 60048

Respectfully submitted,

ROLAND K. BOWLER II

11 May 2004

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